

FAST FACTS

NRG LU005 - LIMITED STAGE SMALL CELL LUNG CANCER (LS-SCLC): A PHASE II/III RANDOMIZED STUDY OF CHEMORADIATION VERSUS CHEMORADIATION PLUS ATEZOLIZUMAB

Eligibility Criteria

- 1. Pathologically (histologically or cytologically) proven diagnosis of limited stage small cell lung cancer (Stage Tx, T1-T4, N0-3, M0, AJCC Staging, 8th Ed.), within 60 days prior to registration;
- 2. Patients must have received one pre-registration cycle of platinum/etoposide chemotherapy prior to study entry, with study registration required within 21 days from day 1 of the pre-registration cycle of chemotherapy and protocol treatment designed to begin 21 days after. If patient has not recovered from pre-registration cycle chemotherapy toxicities, then an additional 14 days is permitted.
- 3. Patients must have had measurable disease (per RECIST, version 1.1) prior to the required preregistration cycle of platinum/etoposide chemotherapy.
- 4. Minimal staging requirements include:
 - History/physical examination within 30 days prior to registration;
 - PET/CT scan for staging within 45 days prior to registration;
 - CT chest/abdomen with IV contrast (unless contraindicated based on kidney function*) within 45 days prior to registration this can be obtained as part of PET/CT if CT imaging is of diagnostic quality;
 - *Note: If contrast allergy exists, premedication per institutional guidelines should be performed prior to obtaining CT with contrast. The only exception to this is a documented life-threatening allergy.
 - MRI scan of the brain with contrast (preferred) or CT scan of the brain with contrast (allowable if there is a contraindication with MRI with contrast) within 30 days prior to registration;
- 5. Age \geq 18;
- 6. ECOG Performance Status of 0-2 within 30 days prior to registration;

7. Required Initial Laboratory Values

(pre-registration cycle): ANC ≥1,500/cells/mm3

Platelet Count ≥100,000 cells/mm3

Hemoglobin ≥9 g/dL

Total Bilirubin <1.5 x ULN

AST (SGOT) and ALT (SGPT) $\leq 2.0 \text{ x ULN}$

Adequate renal function within 30 days prior to registration defined as follows:

- Glomerular filtration rate (GFR) ≥ 50 mL/min/1.73 m2 (See Appendix III for eGFR calculations)
- 8. Patients presenting with a pleural effusion will be eligible if thoracentesis is cytologically negative and non-bloody or if pleural fluid is too small a volume to effectively sample by thoracentesis and does not show increased metabolic activity on CT/PET imaging.
- 9. Negative serum pregnancy test within 14 days of registration for pre-menopausal women of childbearing potential.
- 10. The patient or a legally authorized representative must provide study-specific informed consent prior to study entry.

Ineligibility Criteria

- 1. Definitive clinical or radiologic evidence of metastatic disease;
- 2. Definitive surgical resection of small cell lung cancer;
- 3. Prior invasive malignancy (except non-melanomatous skin cancer, localized prostate cancer, or any early stage cancer treated with curative intent resection) unless disease free for a minimum of 2 years (carcinoma in situ of the breast, oral cavity, or cervix are all permissible);
- 4. More than 1 cycle of prior platinum-based chemotherapy for SCLC prior to enrollment; note that prior chemotherapy for a different cancer is allowable;
- 5. Any prior Atezolizumab or other immunotherapy agent;
- 6. Prior radiotherapy to the lungs or mediastinum that would result in clinically significant overlap of radiation therapy fields; prior tangent fields for breast cancer with minimal overlap with target volumes are allowed per approval of study PIs.
- 7. Patients with cytologically positive pleural or pericardial fluid are not eligible.
- 8. An active, known or suspected autoimmune disease. Patients are permitted to enroll if they have vitiligo, type I diabetes mellitus, residual hypothyroidism due to autoimmune condition only requiring hormone replacement, psoriasis not requiring systemic treatment, or conditions not expected to recur in the absence of an external trigger.
- 9. Active or prior documented inflammatory bowel disease (e.g., Crohn's disease, ulcerative colitis)
- 10. History of allogeneic organ transplant
- 11. History of primary immunodeficiency
- 12. Severe, active co-morbidity defined as follows:
 - Known clinically significant liver disease, including active viral, alcoholic, or other hepatitis, cirrhosis, fatty liver, and inherited liver disease;
 - Any other diseases, metabolic dysfunction, physical examination finding, or clinical laboratory finding giving reasonable suspicion of a disease or condition that contraindicates

- the use of an investigational drug or that may affect the interpretation of the results or render the patient at high risk from treatment complications;
- Active tuberculosis;
- Active hepatitis B (chronic or acute) or hepatitis C infection. Note that if hepatitis status is unknown, hepatitis B/C testing is required.
 o Patients with past or resolved hepatitis B infection (defined as having a negative hepatitis B surface antigen (HBsAg) test, a positive anti-HBc [antibody to hepatitis B core antigen], and a negative viral DNA test (only obtained if HBsAg is found positive) are eligible.
 o Patients positive for HCV antibody are eligible only if polymerase chain reaction (PCR) is negative for HCV RNA. (The HCV RNA test must be performed for patients who have a positive HCV antibody test.)
- Known immunosuppressive disease, for example history of bone marrow transplant or CLL:
- CD4 count < 200 cells/microliter. Note that patients who are HIV positive are eligible, provided they are under treatment with highly active antiretroviral therapy (HAART) and have a CD4 count ≥ 200 cells/microliter within 30 days prior to registration. Note also that HIV testing is not required for eligibility for this protocol.
- COPD requiring chronic oral steroid therapy of > 10 mg prednisone daily or equivalent at the time of registration. Inhaled corticosteroids are not exclusionary;
- Unstable angina and/or congestive heart failure requiring hospitalization within the last 3 months;
- Transmural myocardial infarction within the last 3 months;
- Clinically significant interstitial lung disease
- 13. A condition requiring systemic treatment with either corticosteroids (> 10 mg daily prednisone equivalents) or other immunosuppressive medications within 14 days of study drug administration. Inhaled or topical steroids and adrenal replacement doses > 10 mg daily prednisone equivalents are permitted in the absence of active autoimmune disease.
- 14. Pregnancy or women of childbearing potential and men who are sexually active and not willing/able to use medically acceptable forms of contraception for the duration of study treatment and for 180 days after the last dose of study drug (Arm 2); this exclusion is necessary because the treatment involved in this study may be significantly teratogenic.

NRG-LU005 SCHEMA

PATIENT POPULATION:

Limited stage (Tx, T1-T4, N0-3, M0) small cell lung cancer (LS-SCLC)

STRATIFICATION

- Radiation schedule, BID (3 weeks) vs daily (6.5 weeks)
- Chemotherapy (cisplatin vs carboplatin)
- Sex (male vs female)
- ECOG Performance Status (0/1 vs 2)

RANDOMIZE*

Arm 1

Platinum**/etoposide q3 weeks x 4 cycles

Thoracic RT 45 Gy bid or 66 Gy daily beginning with cycle 2 of chemotherapy***

Arm₂

Platinum**/etoposide q3 weeks x 4 cycles

Thoracic RT 45 Gy bid or 66 Gy daily beginning with cycle 2 of chemotherapy***

Atezolizumab q3 weeks x 1 year, beginning with cycle 2 of chemotherapy

- * Randomization is 1:1.
- ** First cycle of chemotherapy must be given prior to study entry for a total of 4 cycles, 3 given on study. Chemotherapy doublets delivered concurrently, cisplatin/etoposide or carboplatin/etoposide, is required. The site/investigator must declare the chemotherapy regimen that the patient will receive prior to the patient's randomization. Patients who develop a contraindication to cisplatin after beginning therapy may receive carboplatin in subsequent cycles. See Section 5.1 and 6 for details.
- *** All patients with a complete or near complete response are strongly recommended to receive prophylactic cranial irradiation (PCI), planned within 4-6 weeks from completion of chemoradiotherapy. Significant chemoradiotherapy toxicities should be resolved to grade 2 or less before beginning PCI. Patients on Arm 2 who receive PCI will receive it concurrent with atezolizumab.